



THE ASSISTANT SECRETARY OF THE NAVY
(Research, Development and Acquisition)
WASHINGTON, D.C. 20350-1000

JUL 14 2005

MEMORANDUM FOR DISTRIBUTION

Subj: PROMULGATION OF DEPARTMENT OF THE NAVY POLICY FOR THE
ACQUISITION COMMUNITY SUPPORT TO IMPLEMENT FORCENET
CAPABILITIES

FORCENet is the Navy and Marine Corps initiative to achieve Net-Centric Operations and Warfare (NCOW) by providing robust information sharing and collaboration capabilities across the Department of Navy (DON) and with other services, agencies, the joint community, allies, and coalition partners. FORCENet capabilities are integral to all of the Sea Power 21 pillars, and FORCENet provides the Naval component of the Global Information Grid.

The attached policy document aligns and guides the efforts of the DON acquisition community in implementing the materiel component of FORCENet capabilities. It replaces the interim "FORCENet Acquisition Policy Principles" previously promulgated. The policy draws upon and does not supersede existing Department of the Navy and Department of Defense acquisition policy. It provides guidance on: developing and complying with the FORCENet Integrated Architecture; designating FORCENet programs; test and evaluation of FORCENet systems; and family of systems integration and interoperability validation. The policy also defines DON acquisition community roles and responsibilities for FORCENet implementation.

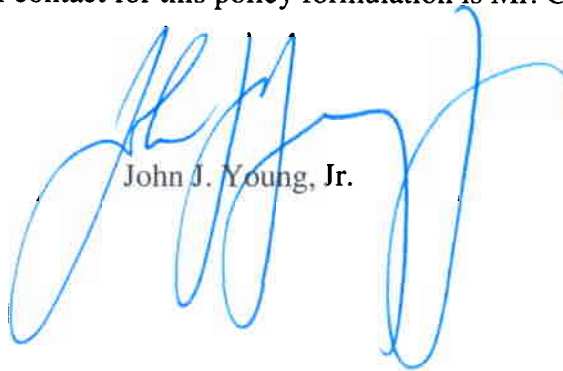
This policy applies to all DON acquisition programs involving the development or procurement of systems that exchange information with the exception of business systems, i.e., information technology systems that are not National Security Systems (NSS) as defined in the Information Technology Management Reform Act 1996 (Clinger-Cohen Act). The integration of business systems will be addressed later. Within this scope, the policy encompasses all acquisition category (ACAT) programs, abbreviated acquisition programs, non-acquisition programs, and Rapid Deployment Capability programs. With qualifications explained in the guidance, it also encompasses joint, international, and science and technology programs, and the procurement by Naval organizations of systems and services developed or provided by other services or agencies. Nothing in this policy affects standards and requirements established by and under the cognizance of the Director, Naval Nuclear Propulsion Program.

ASN (RD&A) Chief Engineer will facilitate the development of an integrated Navy/Marine Corps FORCENet integration and interoperability management plan by the FORCENet CHENG and Marine Corps Systems Command in coordination with the other Systems Commands and the Program Executive Officers. The management plan shall refine and integrate the tools and processes for program assessment and data management and address configuration management and execution phase governance. The target date for promulgation of an integrated FORCENet management plan is 15 March 2006.

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ASN (RD&A) Chief Engineer will also work with the Department of Navy Chief Information Officer, the Assistant Chief of Naval Operations for Information Technology, Program Executive Officer (Information Technology) and NETWARCOM to incorporate the business domain into the FORCENet integrated architecture and to integrate business and warfighting IT acquisition processes and databases.

Proposed revisions to Navy policies to incorporate the appropriate provisions of this memorandum will be coordinated by the ASN (RD&A) Chief Engineer within nine months of the date of this memo. The point of contact for this policy formulation is Mr. Carl Siel, Acting ASN (RD&A) Chief Engineer.



John J. Young, Jr.

Attachments:
As stated

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COMNAVSEASYS
COMNAVAIRSYS
COMSPAWARSYS
MARCORSYS
PEO JSF
PEO T
PEO A
PEO W
PEO SHIPS
PEO SUBS
PEO CARRIERS
PEO IWS
PEO IT
PEO C4I/SPACE
PEO LMW
PEO SPACE
DRPM Enterprise Resource Planning
DRPM Expeditionary Fighting Vehicle
DIR SSP
DRPM DCGS-N
ASN (RD&A) CHENG

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Department of the Navy Policy for Acquisition Community Support to Implement FORCEnet Capabilities

1. Purpose. FORCEnet is the Navy and Marine Corps initiative to achieve Net-Centric Operations and Warfare (NCOW) by providing robust information sharing and collaboration capabilities across the Department of the Navy (DON) and with the other services, agencies, the joint community, allies, and coalition partners. FORCEnet capabilities are integral to all of the Sea Power 21 pillars as defined in reference (a), and FORCEnet provides the Naval component of the Global Information Grid. The purpose of this policy is to align and guide the efforts of the DON acquisition community in implementing the materiel component of FORCEnet capabilities as reflected in program capability documents and in reference (b), which provides the conceptual foundation for FORCEnet. The policy draws upon and does not supersede existing acquisition policy in references (c), (d), and (e), and it implements guidance in references (f) and (g). The policy replaces the interim "FORCEnet Acquisition Policy Principles" promulgated in reference (h). Roles and responsibilities of the DON acquisition community in implementing FORCEnet capability are defined in Attachment (1).

2. Scope. This policy applies to all DON acquisition programs involving the development or procurement of systems, except business systems, that exchange information. Within this scope, the policy encompasses all acquisition category (ACAT) programs, abbreviated acquisition programs, non-acquisition programs, and Rapid Deployment Capability programs; it also encompasses joint, international, and science and technology programs with qualifications explained below. The policy also applies (with qualification explained below) to the procurement by Naval organizations of systems and services developed or provided by other services or agencies.

The initial scope of the policy does not include programs involving the development or procurement of business systems, which are defined as those information technology (IT) systems that are not National Security Systems (NSS) as defined in reference (f). However, it is the goal of the Department to ultimately integrate warfighting and business IT architectures and acquisition processes and databases. Actions to effect this integration have been assigned in the implementation section below.

Nothing in this policy shall affect the standards and requirements established by and under the cognizance of the Director, Naval Nuclear Propulsion Program.

3. Implementation Strategy. The materiel component of FORCEnet will be implemented by developing or modifying systems as needed to comply with an integrated architecture and applicable capability requirements, and by verifying compliance through individual system testing and family of systems (FoS) interoperability validation. Joint/multinational interoperability and information assurance are key elements of the

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implementation strategy. They will be addressed through adherence to Department of Defense (DOD) approved standards and participation in DOD certification processes. The Net-Ready Key Performance Parameter (NR KPP) addresses both interoperability and information assurance and is required by reference (g) to be included in the program acquisition documentation for all IT and NSS. This documentation must be modified as needed to incorporate the NR KPP and other approved and funded FORCENet-related capability requirements. References (i) and (j) promulgate policy regarding FORCENet requirements and capabilities approval and compliance for the Navy and Marine Corps, respectively. The following guidance for the acquisition community implements this strategy.

4. Policy.

a. Integrated Architecture. A key enabler of the implementation strategy is the development of a FORCENet integrated architecture containing operational, system, and technical standards views. Commander, Naval Network Warfare Command (NETWARCOM) (see reference (k)) and Commanding General, Marine Corps Combat Development Command (MCCDC) have the lead in developing the operational views (OVs). The responsibilities of the FORCENet Chief Engineer (CHENG) and other members of the DON acquisition community for developing the system views (SVs) and technical views (TVs) are described in Attachment (1).

The FORCENet integrated architecture TVs shall incorporate applicable standards from the DOD IT Standards Registry (DISR) and shall extend beyond the DISR only where the DISR does not yet meet DON needs. In addition, the FORCENet technical standards shall incorporate industry open interface standards as appropriate.

b. Designation of FORCENet Programs. Programs designated for management under the FORCENet initiative in accordance with the guidance below will hereafter be referred to as “FORCENet programs.” The systems associated with these programs, hereafter referred to as “FORCENet systems,” will be brought into compliance with the FORCENet integrated architecture and will be eligible for inclusion in FORCENet FoS integration and interoperability validation efforts.

(1) All “new” programs (programs in an acquisition phase prior to Milestone B) within the scope of this policy will be managed as FORCENet programs.

(2) Assistant Secretary of the Navy (Research, Development, and Acquisition) (ASN (RDA)) will work with the Chief of Naval Operations (OPNAV), MCCDC (for Marine Corps programs), and NETWARCOM to collaboratively decide whether and how to include other programs (i.e., “legacy” programs) in the FORCENet initiative. This decision should be based on an assessment that considers the remaining

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life of the system, its importance to future network-centric joint and multinational operations, the level of maturity/compliance with the FORCENet integrated architecture, and the feasibility and cost of compliance-related modifications.

The remainder of this policy applies to FORCENet programs unless otherwise noted.

c. Compliance with the FORCENet Integrated Architecture. Program managers (PMs) of FORCENet programs shall work with the FORCENet CHENG and with their resource and requirements sponsors to determine the applicable FORCENet capability requirements and technical standards, effect appropriate changes to capability requirements documents, and identify funding for bringing their programs into compliance with the integrated architecture and funded requirements. PMs for hosted systems (those to be installed in a platform or larger system) shall provide the host system PM with verification of compliance or a plan to achieve compliance with applicable FORCENet criteria. Platform/host system PMs are responsible to verify compliance of hosted systems by consulting the FORCENet database or other means, and to take appropriate action to resolve the issue if compliance cannot be verified. PMs shall implement as soon as possible the applicable technical standards that do not require additional funding or adversely affect program execution.

DON, joint, and international programs designated as FORCENet programs shall comply with FORCENet technical standards to the greatest extent practical, consistent with joint/coalition interoperability considerations. Systems or services developed by organizations other than DON organizations that are being procured for DON use, including commercially-developed systems or services, shall comply with FORCENet technical standards to the greatest extent practical, consistent with cost, schedule, performance, and joint interoperability considerations. Science and technology programs under the Office of Naval Research shall demonstrate the ability for future compliance with FORCENet technical standards. Systems procured solely to demonstrate a capability or to develop a concept of operations need not be FORCENet compliant, but the need for compliance by any follow-on acquisition program should be considered.

d. Test and Evaluation. Test and evaluation of individual systems against FORCENet criteria shall be performed in conjunction with other testing required by the acquisition process. All FORCENet systems must achieve the joint interoperability and supportability certification in accordance with references (f), (g), and (l). This certification shall be used as the basis for certification of compliance with the applicable FORCENet technical standards. For platforms and warfare systems, FORCENet certification shall be incorporated into the Naval Warfare Systems Certification Process in accordance with reference (m). Developmental testing of FORCENet systems shall include an assessment of the system's compliance with applicable FORCENet technical standards and other integrated architecture products, when available.

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e. Family of Systems Integration and Interoperability Validation. To facilitate bringing FORCENet systems into compliance with the integrated architecture, a process is needed to integrate and validate the interoperability of selected FoS associated with mission threads developed by the operational community. “Validation” in this context means confirmation of interoperability through testing of actual systems supplemented as needed by high-fidelity simulation. The FORCENet CHENG shall lead a collaborative effort to develop this FoS integration and interoperability validation process, which should be built upon and consistent with the interoperability certification of individual systems addressed above. FORCENet FoS interoperability validation shall be aligned and coordinated with the Naval Warfare Systems Certification Policy, reference (m). The process shall take full advantage of the systems engineering integrated process teams and system performance documents prescribed in reference (d) and of existing DON, DOD, and industry FoS engineering processes and test beds as well as modeling and simulation.

5. Implementation.

a. Governance and Alignment. Implementation activities will be aligned through established governance processes. In accordance with reference (k), NETWARCOM and MCCDC are responsible for development of FORCENet operational capability requirements. OPNAV and Headquarters U.S. Marine Corps are responsible for prioritizing and resourcing FORCENet capability requirements. Within the DON acquisition community, the FORCENet CHENG and Program Executive Officers (PEOs) have the lead for implementing FORCENet. Alignment of implementation activities within the acquisition community will be maintained through the established acquisition chain of command, supplemented by the FORCENet/C4I Virtual Systems Command (Virtual SYSCOM) and the FORCENet Executive Committee (EXCOMM) to facilitate collaboration across SYSCOMs, PEOs, and programs. The acquisition community will be represented in FORCENet cross-community governance bodies by an ASN (RDA) official for acquisition matters and by the FORCENet CHENG for technical matters.

b. Efficiency and Effectiveness. FORCENet implementation will require efficient and effective processes and practices. Unnecessarily redundant processes and practices must be eliminated. FORCENet implementation shall use existing processes wherever feasible and shall employ efficient information management strategies and practices, including the “enter once use often” strategy for databases. Implementation managers shall take advantage of the ASN (RDA) CHENG Naval Collaborative Engineering Environment, which offers common processes, practices, procedures, data bases, and products.

c. Integrated Management Plan. To manage the DON acquisition community’s FORCENet implementation efforts in accordance with this policy, ASN (RDA) CHENG shall facilitate the development of an integrated Navy/Marine Corps FORCENet

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integration and interoperability management plan by the FORCEnet CHENG and Marine Corps Systems Command (MARCORSSYSCOM) in coordination with the other SYSCOMs and the PEOs. The management plan shall refine and integrate the tools and processes for program assessment and data management and address configuration management and execution phase governance, drawing upon reference (j) as appropriate. The plan shall also define the process for FoS engineering and interoperability validation. The target date for promulgation of an initial integrated FORCEnet management plan is 15 March 2006.

ASN (RDA) CHENG shall also work with the DON Chief Information Officer (CIO), the Assistant Chief of Naval Operations for Information Technology (ACNO (IT)), PEO (IT), and NETWARCOM to incorporate the business domain into the FORCEnet integrated architecture and to integrate business and warfighting IT acquisition processes and databases.

d. Incorporation into SECNAVINST 5000.2 Series. Within nine months of the promulgation date of this memorandum, ASN (RDA) CHENG shall submit proposed revisions to reference (d) to incorporate the appropriate provisions of this memorandum.

e. Point of Contact. The point of contact for this policy is Mr. Carl Siel (SES), Acting ASN (RDA) CHENG.



John J. Young, Jr.

Attachments: (1) Roles and Responsibilities
(2) References

ACQUISITION COMMUNITY ROLES AND RESPONSIBILITIES FOR FORCENET IMPLEMENTATION

1. Assistant Secretary of the Navy, Research, Development, and Acquisition (ASN (RDA))

- a. Provides overall guidance and direction for the Department of the Navy (DON) acquisition community's participation in the FORCEnet implementation process.
- b. Resolves system integration issues that cannot be resolved at a lower level.
- c. As Service Acquisition Executive, ensures compliance with FORCEnet policies, architecture, and standards during program reviews and milestone decisions.
- d. Coordinates with the Chief of Naval Operations (OPNAV) and Headquarters Marine Corps (HQMC) resource and warfare sponsors to address any cost, performance, or schedule impacts associated with modifying legacy systems to comply with FORCEnet standards.
- e. Coordinates with OPNAV and HQMC to identify funding for FORCEnet implementation.
- f. Coordinates with Department of the Navy (DON) Chief Information Officer (CIO) to ensure compliance with DON information management and information technology (IT) policies.
- g. Coordinates with OPNAV, Marine Corps Combat Development Command (MCCDC), and Fleet Forces Command N6/Naval Network Warfare Command (NETWARCOM) to designate legacy programs as FORCEnet programs.

2. Assistant Secretary of the Navy, Research, Development, and Acquisition, Chief Engineer (ASN (RDA) CHENG)

- a. Oversees the development of the FORCEnet integrated architecture system views and technical views (SVs and TVs) through the architecture governance process.
- b. Advises ASN (RDA) on the resolution of cross-systems command (SYSCOM) integration issues.
- c. In coordination with appropriate Deputy Assistant Secretaries of the Navy (DASNs), facilitates resolution of cross-service and cross-agency technical interoperability issues with counterpart service and agency acquisition executives.
- d. Facilitates development of a FORCEnet integration and interoperability management plan. Ensures coordination of the plan with related initiatives, including the Program Executive Officer for Integrated Warfare Systems (PEO (IWS))-led Open Architecture initiative and the PEO for Command, Control, Communications, Computers, Intelligence and Space (PEO (C4I&Space))-led Net-Centric Enterprise Solutions for Interoperability (NESI) initiative.
- e. Coordinates and oversees the implementation of this policy, and makes revision recommendations to ASN (RDA).

- f. Provides Naval representatives to the Department of Defense (DOD) IT Standards Registry (DISR) IT Standards Working Groups to ensure that joint standards mandated by DISRonline reflect FORCEnet requirements.

3. FORCEnet/Command, Control, Communications, Computers, Intelligence (C4I) Chief Engineer (CHENG) (Commander, Space and Naval Warfare Systems Command (SPAWARSYSCOM))

- a. Provides overall technical guidance and advice for implementing FORCEnet.
- b. Leads the development of the enterprise-wide FORCEnet integrated architecture SVs and TVs in coordination with ASN (RDA) CHENG, and ensures integration with the NETWARCOM-developed operational views (OVs). Provides guidance and support to programs in their development of program specific SVs and TVs and ensures they are consistent with the overarching views. Works with PEO (IWS) and the Open Architecture Enterprise Team (OAET) to coordinate FORCEnet architecture development and Naval Open Architecture efforts. When directed, coordinates with the ASN (RDA) CHENG, Program Executive Officer for Information Technology (PEO (IT)), Direct Reporting Program Manager (DRPM) NMCI, DON CIO, and Assistant Chief of Naval Operations for Information Technology (ACNO (IT)) for integration of business IT architecture and standards with the FORCEnet integrated architecture.
- c. In collaboration with ASN (RDA) CHENG, Marine Corps Systems Command (MARCORSYSCOM), and other stakeholders, develops and manages the FORCEnet database and associated processes, ensuring efficiency, effectiveness, and minimal additional workload on program managers.
- d. Leads the FORCEnet/C4I Virtual SYSCOM, and coordinates efforts with the other Virtual SYSCOMs.
- e. Participates with MARCORSYSCOM under ASN (RDA) CHENG facilitation in the development of a FORCEnet integration and interoperability management plan.
- f. Leads the integration and interoperability validation of FORCEnet families of systems (FoS).
- g. Coordinates acquisition community participation in FORCEnet experimentation with other acquisition community participants, NETWARCOM, and MCCDC.
- h. Collaborates with NETWARCOM and other stakeholders to ensure that the FORCEnet integrated architecture is properly integrated with the Global Information Grid (GIG) integrated architecture and approved multinational information sharing architectures.
- i. Leads FORCEnet industry outreach and participation in industry standards forums.
- j. Serves as FORCEnet Technical Authority (TA) in accordance with reference (n). Acts as the Warranting Officer and assigns FORCEnet Technical Warrant Holders (TWHs) for all FORCEnet systems.
- k. Guides, supports, and oversees FORCEnet testing and certification of individual systems as compliant with applicable FORCEnet technical standards.

1. Coordinates development of common data reference models in accordance with the DOD Data Management Strategy.

4. Commander, Space and Naval Warfare Systems Command (SPAWARSYSCOM)

(roles and responsibilities as SYSCOM commander in addition to FORCENet CHENG roles and responsibilities defined above)

- a. Guides, supports, and oversees FORCENet implementation in SPAWARSYSCOM systems.
- b. Participates in integration and interoperability validation of FORCENet FoS involving SPAWARSYSCOM systems.
- c. Provides FORCENet TWH for SPAWARSYSCOM systems.

5. Commander, Naval Air Systems Command (NAVAIRSYSCOM)

- a. In accordance with FORCENet CHENG guidance, supports and oversees FORCENet implementation in NAVAIRSYSCOM systems.
- b. Participates in the development of the FORCENet integrated architecture SVs and TVs to ensure appropriate representation of NAVAIRSYSCOM systems and Sea Strike capabilities.
- c. Supports integration and interoperability validation of FORCENet FoS involving NAVAIRSYSCOM systems.
- d. Provides FORCENet TWH for NAVAIRSYSCOM systems.

6. Commander, Naval Sea Systems Command (NAVSEASYSYSCOM)

- a. In accordance with FORCENet CHENG guidance, supports and oversees FORCENet implementation in NAVSEASYSYSCOM systems.
- b. Participates in the development of the FORCENet integrated architecture SVs and TVs to ensure appropriate representation of NAVSEASYSYSCOM systems and Sea Shield and Sea Basing capabilities.
- c. Supports integration and interoperability validation of FORCENet FoS involving NAVSEASYSYSCOM systems.
- d. Provides FORCENet TWH for NAVSEASYSYSCOM systems.

7. Commanding General, Marine Corps Systems Command (MARCORSYSYSCOM)

- a. In accordance with FORCENet CHENG guidance, supports and oversees FORCENet implementation in MARCORSYSYSCOM systems.
- b. Participates in the development of the FORCENet integrated architecture SVs and TVs to ensure appropriate representation of MARCORSYSYSCOM systems and Expeditionary Warfare and Sea Basing capabilities.
- c. Supports integration and interoperability validation of FORCENet FoS involving MARCORSYSYSCOM systems.
- d. Through the Deputy Commander for C4I Integration, ensures that reference (j) is aligned with the FORCENet management process; collaborates with FORCENet CHENG under ASN (RDA) CHENG lead to develop a FORCENet integration and interoperability management plan.

8. Program Deputy Assistant Secretaries of the Navy (DASNs)

Oversee FORCEnet compliance of programs under their purview, and advise ASN (RDA) on the resolution of architecture, standards, and system integration issues.

9. Program Executive Officers (PEOs), Direct Reporting Program Managers (DRPMs), and Program Managers (PMs) of FORCEnet Programs

- a. Bring programs into compliance with funded FORCEnet requirements, as defined in revised capability documents, and with the applicable FORCEnet technical standards and System Performance Documents (SPDs).
- b. Provide and update data to the databases and toolsets approved by the FORCEnet CHENG and participate in program assessments.
- c. Develop program specific SVs and TVs and ensure they are consistent with the overarching FORCEnet views in the Integrated Architecture.
- d. Address FORCEnet compliance in program cost estimates and within the Planning, Programming, Budgeting, and Execution (PPBE) process; work with the requirements and resource sponsors and the TWH to agree on the applicable FORCEnet capabilities and technical standards in consideration of available funding and effect on program cost, performance, and schedule of any system modifications required.
- e. Participate in the integration and interoperability validation of FORCEnet FoS under their purview, including participation in System Engineering Integrated Product Team (SE IPTs) and development of applicable SPDs.
- f. Consistent with requirements and resource sponsor guidance and the Navy Comptroller rules for proper use of various appropriations, use system capability improvement and maintenance funding as an opportunity to enhance compliance with FORCEnet technical standards.
- g. Report the status of FORCEnet compliance at each milestone and program review.
- h. Comply with the information security certification requirements of reference (o).

10. Program Executive Officer for Integrated Warfare Systems (PEO (IWS))

Coordinates Naval Open Architecture efforts with FORCEnet implementation.

11. DON Milestone Decision Authorities (MDAs)

Ensure compliance with FORCEnet policies and integrated architecture during program reviews and milestone decisions.

REFERENCES

- (a) *Naval Transformation Roadmap 2004*
- (b) *FORCEnet Functional Concept*, 7 Feb 2005
- (c) DOD Instruction 5000.2, *Operation of the Defense Acquisition System*, 12 May 2003
- (d) SECNAVINST 5000.2C, *Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System*, 19 Nov 2004
- (e) *National Security Space Acquisition Policy Number 03-01*, 27 Dec 2004
- (f) DoD Directive 4630.5, *Interoperability and Supportability of Information Technology (IT) and National Security Systems (NSS)*, 5 May 2004
- (g) DoD Instruction 4630.8, *Procedures for Interoperability and Supportability of Information Technology (IT) and National Security Systems (NSS)*, 30 June 2004
- (h) ASN (RDA) Memorandum of 11 Jan 2005, *Summary of FORCEnet EXCOMM of November 4, 2004*
- (i) Deputy Chief of Naval Operations, Warfare Requirements and Programs, Ltr Ser N6/N7/5U916222, *FORCEnet Requirements/Capabilities and Compliance Policy*, 27 May 05
- (j) MARCORSYSCOM *C4I Integration and Interoperability Management Plan*, 19 Apr 2004
- (k) COMNAVNETWARCOM NORFOLK VA 012034Z Sep 04, *FORCEnet Naval Roles and Responsibilities*
- (l) CJCSI 6212.01C, *Interoperability and Supportability of National Security and Information Technology Systems*, 20 Nov 2003
- (m) NAVSEAINST 9410.2/NAVAIRINST 5230.20/SPAWARINST 5234.1 *Naval Warfare Systems Certification Policy* 20 Jan 2005
- (n) Virtual SYSCOM Joint Instruction 22, *Technical Authority*, 3 Jan 2005
- (o) DoDI 5200.40, *Defense Information Technology Security Certification and Accreditation Process (DITSCAP)*, 30 Dec 1997